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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/943,027	08/29/2001	Lakshmi Kutty Cheeniyil	70006393-1	9525
7590 04/30/2007 HEWLETT-PACKARD COMPANY			EXAMINER	
Intellectual Pro	perty Administration		VIG, NARESH	
P.O. Box 272400 Fort Collins, CO 80527-2400			ART UNIT	PAPER NUMBER
,			3629	
			MAIL DATE	DELIVERY MODE
			04/30/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)				
	09/943,027	CHEENIYIL ET AL.				
Office Action Summary	Examiner	Art Unit				
	Naresh Vig	3629				
The MAILING DATE of this communication app	ears on the cover sheet	with the correspondence address				
Period for Reply		MONTH/O) OF THEFTY (OO) FAVO				
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period v  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUN 36(a). In no event, however, may a will apply and will expire SIX (6) MC , cause the application to become	IICATION.  a reply be timely filed  DNTHS from the mailing date of this communication.  ABANDONED (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on 11 Se	eptember 2006.					
2a)⊠ This action is <b>FINAL</b> . 2b)⊠ This	This action is <b>FINAL</b> . 2b)⊠ This action is non-final.					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is						
closed in accordance with the practice under E	x parte Quayle, 1935 C.	D. 11, 453 O.G. 213.				
Disposition of Claims		· · · · · · · · · · · · · · · · · · ·				
4)⊠ Claim(s) <u>1-10</u> is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-3 and 8-10</u> is/are rejected.						
•	7) Claim(s) <u>4-7</u> is/are objected to.					
8) Claim(s) are subject to restriction and/or	relection requirement.	·				
Application Papers		·				
9)☐ The specification is objected to by the Examine	r.					
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11)☐ The oath or declaration is objected to by the Ex	aminer. Note the attache	ed Office Action or form PTO-152.				
Priority under 35 U.S.C. § 119						
12) ☐ Acknowledgment is made of a claim for foreign a) ☐ All b) ☐ Some * c) ☐ None of:	priority under 35 U.S.C.	§ 119(a)-(d) or (f).				
1. Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the prior		n received in this National Stage				
application from the International Bureau	* **					
* See the attached detailed Office action for a list of the certified copies not received.						
	•					
Attachment(s)  1) Notice of Peferonces Cited (PTO 802)						
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> </ol>	Summary (PTO-413) o(s)/Mail Date					
Information Disclosure Statement(s) (PTO/SB/08)     Paper No(s)/Mail Date		Informal Patent Application				

In view of the appeal brief filed on 11 September 2006, PROSECUTION IS HEREBY REOPENED. A new grounds of rejection is set forth below.

To avoid abandonment of the application, appellant must exercise one of the following two options:

- (1) file a reply under 37 CFR 1.111 (if this Office action is non-final) or a reply under 37 CFR 1.113 (if this Office action is final); or,
- (2) initiate a new appeal by filing a notice of appeal under 37 CFR 41.31 followed by an appeal brief under 37 CFR 41.37. The previously paid notice of appeal fee and appeal brief fee can be applied to the new appeal. If, however, the appeal fees set forth in 37 CFR 41.20 have been increased since they were previously paid, then appellant must pay the difference between the increased fees and the amount previously paid.

A Supervisory Patent Examiner (SPE) has approved of reopening prosecution by signing below:

John Weiss SPE AU 3629 Application/Control Number: 09/943,027

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#### DETAILED ACTION

Claims responded to in this office action is the current pending amended claimed filed 09 June 2005. Claims 1 – 10 are pending for examination

## Response to Arguments

Applicant's arguments with respect to claims 1 - 10 have been considered but are most in view of the new ground(s) of rejection.

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1 – 3 and 8 – 10 are rejected under 35 U.S.C. 103(a) as being unpatentable over "Tutorial: A Little Help With Alcatel-Lucent nmake" hereinafter known as ALU.

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Regarding claims 1 and 10, ALU teaches concept of executing a work flow (building of an application) in a WFMS (application building environment) having at least one process instance executing an original process definition (e.g. code, object file), and migrating the said process instance to a changed process definition (modified code, new object file). ALU teaches:

checking each process instance during the execution of the original process definition whether the process instance meets a migration condition (if the object file is up to date nmake reads it instead of makefile, otherwise nmake reads the makefile and builds a new objectfile) [ALU, page 16]; and

ALU does not specifically teach migrating each process instance during the execution of the original process definition to a modified process definition if the migration condition is met such that said process instance executes said changed process definition. However, it is old and known to one of ordinary skill in the art that in compilation, when a code is compiled, any modification in the include file are used by the compiler to generate a new running application (e.g. dll, .com, .exe file).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made that during execution, compiler compiles new application using up to date code (i.e. code with any new modifications available at the time of compilation) to generate most current application.

defining an original process definition to be executed in a work flow system (makefile);

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starting execution of the process instance as per the original process definition (executing nmake);

defining a modified process definition (generating new makefile);

checking for each process instance whether a migration condition is met (obvious step in generating new makefile);

replacing the nodes of the original process definition in a running process instance satisfying the migration condition by the corresponding nodes of the modified process definition such that said running process instance executes said modified process definition (obvious during compilation).

Regarding claim 2, as responded to earlier, ALU teaches concept of:

defining a set of worst case migration points (makefile), and

migrating the process instance to the modified process definition, if its execution
has not gone beyond anyone of said worst case migration points (nmake the new
application).

Regarding claim 3, as responded to earlier, ALU teaches concept of: reading a set of worst case migration points from an user input (makefile).

Regarding claim 8, as responded to earlier, ALU teaches concept of checking whether the node(s) in the original process definition being currently executed is/are also present in the modified process definition (generating new makefile).

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Regarding claim 9, as responded to earlier, ALU teaches concept of checking whether a node in the original process definition being currently executed is also present in the modified process definition is repeated upon executing of each node(s) of the original process definition until the migration of said process instance is completed (nmake of an application).

### Allowable Subject Matter

Claim 4 is deemed to be allowable if rewritten to include all of the limitations of the base claim and any intervening claims.

Claims 5 – 7 which claim dependency on claim 4 are also deemed to be allowable.

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#### **Conclusion**

Applicant is required under 37 CRF '1.111 (c) to consider the references fully when responding to this office action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Naresh Vig whose telephone number is (571) 272-6810. The examiner can normally be reached on Mon-Thu 7:00 - 5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Weiss can be reached on (571) 272-6812. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Y anush / 19 4/25/07. Naresh Vig Examiner

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January 22, 2007